

PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 Of 8	Complete if Known	
	Application Number	09/592,165
	Filing Date	June 12, 2000
	First Named Inventor	Mahadevan Iyer
	Art Unit	2661
	Examiner Name	SALVATORE CANGIALOSI
	Attorney Docket Number	134897

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-5, 029, 206	07-02-1991	MARTINO JR. ET AL	RECEIVED AUG 12 2004 Technology Center 2600
		US- 5,448,724	09-05-1995	HAYASHI	
		US- 5,579,222	11-26-1996	BAINS ET AL.	
		US- 5,677,905	10-14-1997	BIGHAM ET AL.	
		US-5, 758,083	05-26-1998	SINGH ET AL.	
		US-5, 835, 481	11-10-1998	AKYOL ET AL	
		US- 5,872,779	02-16-1999	VAUDREUIL	
		US-5, 884,325	03-16-1999	BAUER ET AL.	
		US- 5,951,639	09-14-1999	MACINNIS	
		US- 5,987,376	11-16-1999	OLSEN ET AL.	
		US- 6,047,322	04-04-2000	VAID ET AL.	
		US- 6,070,243	05-30-2000	SEE ET AL.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴	-Kind Code ⁵ (if known)				
		EP 0 909 074 A1		04-14-1999	LUCENT TECHNOLOGIES		
		EP 1 026 867 A		08-09-2000	NORTEL NETWORKS CORPORATION		
		WO 95/29544		11-02-1995	CISCO SYSTEMS		
		WO 98/28880		07-02-1998	MCI COMMUNICATIONS		

Examiner Signature	Date Considered
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³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 2 Of 8	Complete if Known	
	Application Number	09/592,165
	Filing Date	JUNE 12, 2000
	First Named Inventor	Mahadevan Iyer
	Art Unit	2661
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	Attorney Docket Number	134897

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		Number-Kind Code ² (if known)			
		US- 6,073,175	06-06-2000	TAVS ET AL.	RECEIVED AUG 12 2004 Technology Center 2600
		US- 6,088,451	07-11-2000	HE ET AL.	
		US- 6,101,541	08-08-2000	ELLESON ET AL.	
		US- 6,104,700	08-15-2000	HADDOCK ET AL.	
		US-6,128,296	10-03-2000	DARUWALLA ET AL.	
		US- 6,141,686	10-31-2000	JACKOWSKI ET AL.	
		US- 6,148,336	11-14-2000	THOMAS ET AL.	
		US- 6,148,410	11-14-2000	BASKEY ET AL.	
		US-6, 157, 955	12-05-2000	NARAD ET AL.	
		US- 6,167,445	12-26-2000	GAI ET AL.	
		US- 6,170,009	01-02-2001	MANDAL ET AL.	
		US- 6,210,272	04-03-2001	BROWN	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patenlee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	6
		Country Code ³	-Number ⁴ -Kind Code ⁵ (if known)				

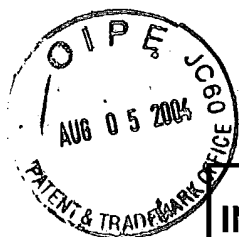
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⁵ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). * For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 3 Of 8

Complete if Known

Application Number	09/592,165
Filing Date	JUNE 12, 2000
First Named Inventor	Mahadevan Iyer
Art Unit	2661
Examiner Name	SALVATORE CANGIALOSI
Attorney Docket Number	134897

U.S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
		US- 6,243,749	06-05-2001	SITARAMAN ET AL.	
		US- 6,272,648	08-07-2001	FINDLAY ET AL.	
		US- 6,286,052	09-04-2001	MC CLOHRIE ET AL.	
		US-6, 311,205	10-30-2001	DUTCHER ET AL.	
		US-6, 363,498	03-26-2002	HOWELL	
		US-6, 374,295	04-16-2002	FARROW ET AL.	
		US- 6,408,399	06-18-2002	BAUGHMAN	
		US-6.430.710	08-06-2002	MORIYAMA ET AL.	
		US-6. 442.713	08-27-2002	BLOCK ET AL.	
		US-6, 449,650	09-10-2002	WESTFALL ET AL.	
		US-6, 466,941	10-15-2002	ROWE ET AL.	
		US-6, 487,594	11-26-2002	BAHLMANN	

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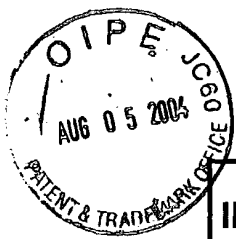
FOREIGN PATENT DOCUMENTS

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³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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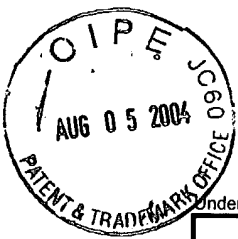
Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 4 Of 8	Complete if Known	
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	Filing Date	JUNE 12, 2000
	First Named Inventor	Mahadevan Iyer
	Art Unit	2661
	Examiner Name	SALVATORE CANGIALOSI
	Attorney Docket Number	134897

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		Number-Kind Code ² (if known)			
		US- 6,502,131	12-31-2002	VAID ET AL.	RECEIVED AUG 12 2004 Technology Center 2600
		US- 6,516,314	02-04-2003	BIRKLER ET AL.	
		US-6, 523,064	02-18-2003	AKATSU ET AL.	
		US- 6,529,499	03-04-2003	DOSHI ET AL.	
		US-6, 549,902	04-15-2003	IWAI	
		US-6, 584,454	06-24-2003	HUMMEL JR. ET AL.	
		US-6, 587,466	07-01-2003	BHATTACHARYA ET AL.	

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/592,165
		Filing Date	JUNE 12, 2000
		First Named Inventor	Mahadevan Iyer
		Group Art Unit	2661
		Examiner Name	SALVATORE CANGIALOSI
		Attorney Docket Number	134897
Sheet	5	8	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Policy-Based Networking: Working Hand In Hand with DEN," From the Internet, Archives ENTmag.com, November 1998, Retrieved from Internet: <URL: http://www.entmag.com/archives/print.asp?EditorialsID=4305 >, retrieved on August 20, 2003.	
		NOMURA, YUJI; CHUGO, AKIRA; ADACHI, MOTOMITSU; TORIUMI, MASAHIITO; "A Policy Based Networking Architecture for Enterprise Networks," 1999, pp. 636-640, IEEE	
		BLIGHT, DAVID C. and HAMADA, TAKEO, "Policy-Based Networking Architecture for QoS Internetworking in IP management - Scalable Architecture for Large-Scale Enterprise-Public Interoperation," Integrated Network Management, Distributed Management for the Networked Millennium, Proceedings of the Sixth IFIP/IEEE International Symposium on Boston, MA, USA, May 24-28, 1999, pp. 813 - 826, IEEE, Piscataway, NJ., USA.	
		"Today's Policy Management Scenarios," 1998, Derminisitic.com	
		APOSTOLOPOULOS, T.K., DASKALOU, V.C., KATSIKAS, S.K., and MOULINOS, K.D., "Enforcing Security Policies in Large Scale Communication Networks," Reliable Distributed Systems Proceedings, Seventeenth IEEE Symposium on West Lafayette, IN., USA, October 20 - 23, 1998, pp. 393 - 397, IEEE Comput. Soc, Los Alamitos, CA., USA.	
		MARRIOT, DAMIAN and SLOMAN, MORRIS, "Management Policy Service for Distributed Systems," Services in Distributed and Networked Environments, Proceedings of Third International Workshop on Macau, June 3 - 4, 1996, pp. 2 - 9, IEEE Comput. Soc, Los Alamitos, CA., USA.	

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		"Cisco Secure Policy Manager," Internet Article, Online, May 8, 1999, pp. 1 - 4, Retrieved from the Internet: <URL: http://web.archive.org/web/20000312094232/cisco.com/warp/public/cc/cisco/mkt/security/csm/pr odlit/secmn_ov.htm >, Retrieved on September 25, 2003.	
		STRASSNER, J., ELLESSO, E., and MOORE, B. "Policy Framework Core Information Model," Network Working Group, Internet Draft, Online, May 17, 1999, pp. 1 - 55, Retrieved from the Internet: <URL: http://www.watersprings.org/pub/id/draft-ietf-policy-core-schema-03.txt >, Retrieved on September 23, 2003.	
		FORD, WILLIAM R., "Administration in a Multiple Policy/Domain Environment: The Administration and Melding of Disparate Policies," New Security Paradigms Workshop, Proceedings La Jolla, CA., USA, August 22 - 25, 1995, pp. 42 - 52, IEEE Comput. Soc, Los Alamitos, CA., USA.	
		LI, T., MORTON, J., and LI, D., "Cisco Hot Standby Router Protocol (HSRP)," Network Working Group - RFC 2281, March 1998, pp. 1 - 17, Retrieved from the Internet: <URL: http://kaizi.viagenie.qc.ca/ietf/rfc/rfc2281.txt >, Retrieved on April 19, 2002.	
		ESTRIN, DEBORAH and STEENSTRUP, MARTHA, "Inter Domain Policy Routing: Overview of Architecture and Protocols," Computer Communications Review, 1991, pp. 71 - 78, vol. 21, no. 1, Association for Computing Machinery, New York, USA.	
		BRAUN, T., GUNTER, M., KASUMI, M., and KHALIL, I., "Virtual Private Network Architecture," CATI - Charging and Accounting Technology for the Internet, January 8, 1999, pp. 1 - 30, XPOO2239239.	

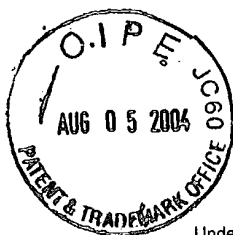
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	Examiner Name	SALVATORE CANGIALOSI
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	1	KAWAI, YASUHIRO, "Policy server starts commercialization," May 22, 1999, pp.144-151, Nikkei Internet Technology, No. 23, Nikkei BP Publishing Co.	x
	2	KAWAI, YASUHIRO, "Directory Function of Network device," December 22, 1988, pp. 14-16, Nikkei Internet Technology, No. 18, Nikkei BP Publishing Co.	x
	3	HAMAKAWA, MASANOBU, "Is your security sure?," November 1, 1998, pp. 90-95, Business Communication Vol. 35, No. 11, Business Communication Co., Ltd.	x
	4	YASUHITO KOGA ET AL. "Implementation of directory server with dynamic class definition and evaluation of function," March 11, 1999, pp.241-242, Proceedings of 58 th National Convention of Information Processing Society, third part, Data Processing Society.	x
	5	MERILEE FORD ET AL., "Internetworking Technologies Handbook," December 20, 1997, pp. 465-467, Prentice Hall Publishing Co.	x
	6	"Windows NT Network Design Guide," July 1, 1998, pp. 197-199, 271-276, 292-296, 302, first edition, Softbank Co., Ltd.,	x

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	7	TAKAYUKI ITO ET AL., "MULTIPLE SYSTEM," Filed September 26, 1995, as Japanese Patent Application No. 7-247521, published as Japanese Patent Application No. 9-93244 on April 4, 1997.	x
	8	MASARU YASUNAGA ET AL., "MULTIPLEXER," Filed October 26, 1993, as Japanese Patent Application No. 5-266689, published as Japanese Patent Application No. 7-121394 on May 12, 1995.	x
		STEENSTRUP, Martha, "An Architecture For Inter-Domain Policy Routing," Network Working Group, RFC 1478, June 1993, pp. 1-36, Retrieved from the Internet : URL:http://www.ietf.org/rfc/rfc1478.txt?number=1478 , Retrieved on July 30, 2004.	
		"Maintaining Cisco Security Manager," Online Tutorial: Cisco Security Manager, May 8, 1999, pp. 1-10, Chapter 7, Retrieved from the Internet: URL:http://web.archive.org/web/20031014070948/http://www.cisco.com/univercd/cc/td/doc/prod/ismg/security/tutorial/maintain.pdf , Retrieved on October 14, 2003.	

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